UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

DANIEL KLEEBERG, LISA STEIN, and AUDREY HAYS,

Plaintiffs,

v.

LESTER EBER; ALEXBAY, LLC f/k/a
LESTER EBER, LLC; CANANDAIGUA
NATIONAL CORPORATION d/b/a
CANANDAIGUA NATIONAL BANK &
TRUST; ESTATE OF ELLIOTT W.
GUMAER, JR.; EBER BROS. & CO.,
INC.; EBER BROS. WINE AND LIQUOR
CORPORATION; EBER BROS. WINE &
LIQUOR METRO, INC.; EBERCONNECTICUT, LLC; and WENDY
EBER,

Defendants.

Civil Action No. 16-CV-9517(LAK)

DECLARATION OF BRIAN C. BROOK IN SUPPORT OF PLAINTIFFS' MOTION TO DISQUALIFY UNDERBERG & KESSLER FROM REPRESENTING EBER BROS. & CO., INC AND EBER BROS. WINE AND LIQUOR CORP.

- 1. I, Brian C. Brook, am an attorney licensed to practice law in the State of New York and in this Court, among other jurisdictions, am a partner in the law firm of Clinton Brook & Peed, and have been counsel of record for the Plaintiffs in the above-captioned case since its initial filing in December 2016.
- 2. I submit this declaration and the exhibits attached hereto in support of Plaintiff's Motion to Disqualify Underberg & Kessler, LLP from representing Eber Bros. & Co., Inc. and Eber Bros. Wine and Liquor Corporation.

- 3. Attached as Exhibit A is a copy of an organizational chart produced by the Eber Parties at EB-00020402.
- 4. Attached as Exhibit B is a copy of a letter referencing an Agreement and Plan of Merger between Eber Bros. Wine & Liquor Metro, Inc. and Slocum & Sons, Inc., and certain their affiliates (the "Merger Agreement"). It was produced by the Eber Parties at EB-00022108–09. In response to our request to produce the referenced Merger Agreement, Underberg & Kessler claimed that the document no longer exists.
- 5. Attached as Exhibit C is a copy of Lester Eber's Responses to Daniel Kleeberg's Second Set of Interrogatories.
- 6. Attached as Exhibit D is a copy of an Agreement reflecting transactions between Eber Metro and Polebridge Bowman.
 - 7. Attached as Exhibit E is a copy of Lester Eber's December 8, 2011 Affidavit.
- 8. Attached as Exhibit F is a copy of a letter sent by Paul Keneally to Daryoush Behbood on August 17, 2018.
- 9. Attached as Exhibit G is a copy of the February 21, 2012 Summons and Complaint for Alexbay, LLC vs. Eber Bros. Wine & Liquor Corp., at al., Index No. 2012-1919.
- 10. Attached as Exhibit H is a copy of the two engagement agreements with Underberg & Kessler that were filed on the docket in this matter.
- 11. Attached as Exhibit I is a true and correct copy of the June 1, 2017 Order for Judicial Settlement of Final Account and Termination of the Trust entered by the Monroe County Surrogate's Court.

Case 1:16-cv-09517-LAK-KHP Document 156 Filed 11/16/18 Page 3 of 3

Attached as Exhibit J is a copy of printouts from the New York Department of State 12.

website showing the current registration status for Eber Bros. & Co. Inc. and Eber Bros. Wine and

Liquor Corp.

I declare under penalty of perjury pursuant to 28 U.S.C. § 1746 that the foregoing is true

and correct.

Dated: November 16, 2018

/s Brian C. Brook

Brian C. Brook

3